

Ref	Category	Ref #	Description	Risk owner (primary person responsible for assessing and managing the ongoing risk)	Impact before mitigations Jul 2014	Likelihood before mitigations Jul 2014	Risk Score = Impact x Likelihood	Mitigation I	Mitigation II	Mitigation III	RISK score after Mitigation Jul 2014
	Corporate Governance SO1.GG	4.1	Council inability to make decisions	Secretary to Council & Chair	3	1	3	Regular meetings, agendas and clear lines of accountability between Council and committees	Well researched and drafted decision papers at meetings	Attendance by external professionals as required	Low
	Corporate Governance SO1.GG SO2.EBP	4.4	Failure to meet Council/Committee quorums	Secretary to Council	4	3	12	Clear communication of expectations of Council members' duties upfront	Adequate processes notifying Council & committee members of forthcoming meetings prior to meeting including confirmation of attendance	Committee secretaries and chairs advised that inquorate meetings must not proceed	Low
	Corporate Governance SO1.GG	4.9	Failure to ensure the Health & Safety of Council Members	Secretary to Council , Facilities Manager & Finance Director	4	2	8	Safety briefing at start of each Council or Committee meeting.	H&S information on Council Extranet	Personal Injury and Travel insurance	Low
	Corporate Governance SO1.GG	4.11	Expense claim abuse by members	Secretary to Council	4	2	8	Members Code of Conduct (public office)	Clear and comprehensive Council agreed policies posted on the Council member Extranet and made clear during induction	Budget holder review and authorisation procedures	Low
	Corporate Governance SO1.GG SO2.EBP	4.13	Failure to comply with DPA 1998 or FOIA 2000, leading to ICO action	Secretary to Council	3	3	9	Legal advice	Clear ISO processes	-	Low
	Corporate Governance SO1.GG	4.14	Transition to smaller	Chair & Secretary to Council	3	2	6	Oversight by PSA	Continuity of Chair, (to remain in post for three years)	Core processes to continue unchanged	Low
	Corporate Governance SO1.GG	4.15	Failure to adhere to the requirements of the Bribery Act 2010	Chair & Secretary to Council	4	2	8	Suite of policies and processes related to the Bribery Act	Oversight of HCPC processes that could be vulnerable to bribery, by EMT and Internal Audit	Compliant processes designed for HCPC as a matter of course	Low
	Finance SO1.GG SO2.EBP	15.16	Late submission of the Annual Report, beyond sector standards	Secretary to Council	3	1	3	Upfront agreement on the Year End and Annual Report reporting process dates. Committee approval of the Audit Plan(s).	Effective process management	-	Low