
Audit Committee

The 54th meeting of the Audit Committee will take place as follows:

Date: Wednesday 15 June 2016

Time: 10:30 am

Venue: The Council Chamber, Health and Care Professions Council, Park House,
184 Kennington Park Road, London SE11 4BU

Members: Richard Kennett (Chair)
Stephen Cohen
Eileen Mullan
Julie Parker

Enquiries: Claire Amor, Secretary to the Committee
020 7840 9710
claire.amor@hcpc-uk.org

Public Agenda

- | | | |
|---|--|--------------------------|
| 1 | Apologies for absence | verbal |
| 2 | Approval of agenda | verbal |
| 3 | Declaration of members' interests | verbal |
| 4 | Minutes of the Audit Committee meeting of 16 March 2016
Claire Amor – Secretary to the Committee | enclosure 1
AUD 10/16 |
| 5 | Matters arising
Claire Amor – Secretary to the Committee | enclosure 2
AUD 11/16 |

Items for discussion/approval

- | | | |
|----|---|---------------------------|
| 6 | Internal audit report – Core financial controls
Tian Tian – Head of Financial Accounting | enclosure 3
AUD 12/16 |
| 7 | Internal audit – update on the 5 year plan model review
Andy Gillies – Director of Finance | enclosure 4
AUD 13/16 |
| 8 | Review of internal audit recommendations
Claire Amor – Secretary to the Committee | enclosure 5
AUD 14/16 |
| 9 | Internal audit annual report 2015-16
Andy Gillies – Director of Finance | enclosure 6
AUD 15/16 |
| 10 | Internal audit plan 2016-17
Tian Tian – Head of Financial Accounting | enclosure 7
AUD 16/16 |
| 11 | NAO report to those charged with governance
Andy Gillies – Director of Finance | enclosure 8
AUD 17/16 |
| 12 | Draft Audit Committee annual report 2015-16
Claire Amor – Secretary to the Committee | enclosure 9
AUD 18/16 |
| 13 | Draft annual report and accounts 2015-16
Tian Tian – Head of Financial Accounting | enclosure 10
AUD 19/16 |
| 14 | BPI report
Roy Dunn – Head of Business Process Improvement | enclosure 11
AUD 20/16 |
| 15 | BSI ISO9001 & ISO27001 audit reports
Roy Dunn – Head of Business Process Improvement | enclosure 12
AUD 21/16 |
| 16 | Risk owner presentations
John Barwick – Head of Investigations
Jacqueline Ladds – Director of Communications | enclosure 13
AUD 22/16 |

17 **Any other business**
Previously notified and agreed by the Chair

18 **Date & time of next meeting:**
Tuesday 6 September 2016

Resolution

The Committee is invited to adopt one or more of the following:

'The Committee hereby resolves that the remainder of the meeting shall be held in private, because the matters being discussed relate to one or more of the following;

- (a) information relating to a registrant, former registrant or applicant for registration;
- (b) information relating to an employee or officer holder, former employee or applicant for any post or office;
- (c) the terms of, or expenditure under, a tender or contract for the purchase or supply of goods or services or the acquisition or disposal of property;
- (d) negotiations or consultation concerning labour relations between the Council and its employees;
- (e) any issue relating to legal proceedings which are being contemplated or instituted by or against the Committee or the Council;
- (f) action being taken to prevent or detect crime or to prosecute offenders;
- (g) the source of information given to the Committee in confidence; or
- (h) any other matter which, in the opinion of the Chair, is confidential or the public disclosure of which would prejudice the effective discharge of the Committee's or Council's functions.'

Item	Reason for Exclusion
19	H

Part 2 – Private Agenda

19 **Audit Committee meeting with internal and external auditors'** verbal
Claire Amor – Secretary to the Committee

20 **Any other business**
Previously notified and agreed by the Chair